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| <p>Contact Person</p> | <p>Tonya Keller Health Program Manager Division of Health Care Financing Utah Department of Health P.O. Box 143108 288 North 1460 West Salt Lake City, UT 84114-3108 801-538-9136 Fax: 801-538-6412 tkeller@utah.gov</p> |
| <p>Demographics</p> | <p>Number American Indians/Alaska Natives (2000 Census) –40,445 Number American Indians/Alaska Natives 65 and over (2000 Census) –1,423</p> |
| <p>State Medicaid Spending & Eligibles (persons enrolled in the Medicaid program)</p> | <p>Spending, Personal Care (2002) - \$ 693,520 Spending, 1915(c) aged/disabled waiver (2002) – \$ 2,542,843 Total number persons enrolled in Medicaid (2001) – 214,597 Number “aged” persons enrolled in Medicaid (2001) – 11,855 Number “blind/disabled” persons enrolled in Medicaid (2001) – 26,386 Number persons, “aged” persons served by personal care program (2001) – 228 Number “blind/disabled” persons served by personal care program (2001) – 68 Number persons served by 1915(c) aged waiver (2001) –809 Number persons served by 1915(c) disabled waiver (2001) – 81 Number AIAN persons enrolled in Medicaid (1998) –10,604 Number of AIAN persons receiving waiver services(2000-IHS) –61</p> |
| <p>Services reimbursed by home and community based care programs (1915c waiver and state plan PCS)</p> | <p><u>Aging waiver:</u> Supportive maintenance (CNA home health aide, agency based); Personal Care Attendant (both agency & independent contractor), agency only chore, housekeeping, companion, respite, non-medical transportation, extra home delivered meals, case management, and other supplemental services.</p> <p><u>Disabled waiver:</u> Personal care attendant (no supportive maintenance), consumer directed personal care (no agency care) Also Local Area Support Coordination Liaison, provided by independent living centers, (this service assists waiver clients to identify local area waiver service providers, community based resources, and natural supports) and emergency response systems.</p> <p><u>Home-Base Personal Care Services</u> (personal care state plan – only provided through agencies) include assistance: (a) to self-administer medications; (b) with housekeeping; (c) with personal grooming and dressing; (d) with eating and meal preparation; (e) with oral hygiene and denture care; (f) with toileting and toilet hygiene; (g) with arranging for medical and dental care including transportation to and from the appointment; (h) taking and recording oral temperatures; (i) administering emergency first aid; (j) providing or arranging for social interaction; (k) proving transportation; (l) documenting services in the individual record.</p> <p><u>Employment Related Personal Care Services</u> (personal care state plan, for those with disabilities – both agency and consumer directed care available) include assistance with daily living activities and instrumental daily living activities, including assistance with cognitive tasks, to support the individual’s ability to</p> |

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| | <p>work and transportation to and from the work site. Assistance may be in the form of hands-on assistance or cuing so that the person performs the task by him/herself.</p> |
| <p><i>State-only funded programs that provide in-home services</i></p> | <p><u>The Alternative to Nursing Home Program (TAP)</u> is administered through local Area Agencies on Aging (AAAs) and provides services for adults who have health, mobility or functional limitations and who are at risk for nursing facility placement. The majority of those served are aged 60 and above. Services provided are homemaker, personal care, home health aide, nursing, respite, home delivered meals, adult day care and transportation.</p> <p><u>Caregiver Respite Program</u> provides intermittent and short-term services to allow caregivers a short break from the day-to-day demands of providing care to an elder person. Services include adult day care, homemaker, home health aid, short-term institutional placement, and the use of medical equipment and supplies.</p> <p><u>Division of Services for People with Disabilities (DSPD) Personal Assistance Support Program</u> is a State funded program for individuals not meeting the eligibility criteria of the Physical Disabilities Waiver, providing personal attendant services, consumer preparation, and personal emergency response systems.</p> <p>Coordination with Medicaid services: The local (AAAs) who administer the Alternatives and Caregiver Respite programs are also the contracted case management agencies for the 1915(c) Aging Waiver statewide.</p> <p>The Division of Services for People with Disabilities is the case management agency for both the Physical Disabilities Waiver and the Personal Assistance Support Program.</p> |
| <p><i>Requirements for participation as a providing agency for those in-home non-medical services (e.g. homemaker).</i></p> | <p>Organizations that want to provide personal care under Utah’s waiver and state-plan programs must be capable of performing activities as both a business agent and fiscal/employer agent. A business agent processes claims and receives reimbursement for provider agencies or individual waiver service providers. A fiscal agent assists personal care recipients in the “consumer directed” model by performing employer-related tasks, without being considered the employer. These tasks include (but are not limited to) all payroll functions (withholding taxes, paying wages, etc.), conduct background checks as required, and maintain employees records.</p> <p>From application to provider enrollment takes 14 days for state plan PCS, 30 days for waiver provider. Waiver providers need to work through the local Area Agency on Aging and the State’s Division of Aging and Adult Services.</p> <p>The state reports shortages in personal care workers in both the agency and independent contractor models.</p> <p>Personal care services (state plan) have to be provided under the supervision of a RN. See Medicaid provider manual web page for more information http://health.utah.gov/medicaid/pdfs/SECTION1.pdf</p> |

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| <p><i>Eligibility requirements for elders for those programs</i></p> | <p>Financial eligibility: Income (less allowable expenses) under 100% of the federal poverty line, under \$2000 in assets (for a single person, \$3000 for a couple)</p> <p>Need eligibility: Must meet 2 of the following 3 for aging waiver (equivalent to nursing home eligibility):</p> <ul style="list-style-type: none"> - More than only supervision in ADLs needed - Not cognitively oriented - High intensity of service need <p>For disability: functional loss of 2 limbs, MD certified ability to manage own attendant & financial affairs, 14 hours/week of personal care need, and have potential attendant trained <u>before</u> certified for eligibility.</p> <p>The need evaluation is conducted by:</p> <ul style="list-style-type: none"> * Aging Waiver – Registered nurses from the case management agency make the medical eligibility determination. * Physical Disabilities Waiver – Registered nurses from the Utah Department of Health make the medical eligibility determination. * State Plan Personal Care Services do <u>not</u> require a physician’s order. They are prior authorized based on a personal care assessment and a plan of care prepared by a licensed health care professional. <p>Re-determination of Eligibility: <u>Waivers</u> - Annual reassessment at a minimum or when a significant change occurs <u>PCS</u> – home based PCS – every 6 months or with significant change, employment related PCS – annually or with significant change.</p> |
| <p><i>Expected length of time from application to initiation of services for elders eligible for services</i></p> | <p><u>Aging Waiver</u> - This waiver currently has no waiting list. Each AAA keeps a waiting list for the Aging Waiver; The State Division of Aging and Adult Services shifts slots between AAAs as needed.</p> <p><u>Physical Disabilities Waiver</u> – currently there are approximately 85 individuals on the waiting list. The ability to move individuals from the waiting list is directly related to legislative budget appropriations allowing for the Medicaid Federal Funding to be matched by State funding. Waiting times vary according to priority status. Persons with highest degree of need spend less time on the waiting list.</p> |
| <p><i>Tribal organizations or programs currently serving as providers under those programs.</i></p> | <p>None in Utah reported.</p> <p>Tribal health or aging programs could case manage elders or disabled persons for Aging Waiver or PCS services if the program meets the requirements for and becomes an enrolled Medicaid Provider. These entities could not be case managers for the Physical Disabilities Waiver as it is currently written. At this time, neither tribal health nor aging programs are waiver services providers. However, individual tribal members who qualify for either waiver or PCS services can access services through an authorized Medicaid provider.</p> |
| <p><i>Other information</i></p> | <p><u>Rates & Reimbursements</u></p> <ol style="list-style-type: none"> 1. Reimbursement rate for agency-provided personal care services |

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| | <ul style="list-style-type: none"> • Waiver Services - \$14.00 per hour (agency); \$9.61 per hour (direct to caregiver in consumer directed model; state also pays employment taxes in addition) • State Plan Personal Care - \$ 14.00 per hour <p>2. Electronic claims are preferred, but Medicaid will accept paper claims.</p> <p>3. A provider can expect to wait 5 days on average (30 days maximum) between claims submissions and receipt of payment for “clean” submissions?</p> <p>4. The most common errors or problems in claims submitted for HCBS/PCS are:</p> <ul style="list-style-type: none"> • Provider number is wrong for the program the client is in • No prior authorization number or wrong units of service. <p><u>Service Maximums</u></p> <ul style="list-style-type: none"> * Aging Waiver – attendant services are limited to a minimum of 2 hours at a time and may not exceed 5 hours per day. * Physical Disabilities Waiver – individual must require a minimum of 14 hours per week to be eligible for the waiver. There are no other caps or restrictions on the number of hours. FY2001 average was 25.4 hours of care per week. * State Plan Personal Care Services - limited to 60 hours per month; FY2001 average was 2.24 hours of service per week. | | |
| <p><i>For further information about becoming providers for those programs</i></p> | <ul style="list-style-type: none"> * Aging Waiver – Division of Aging and Adult Services: Karla Corbridge 801-538-4645, KCORBRID@utah.gov * Area Agencies on Aging Directors – see http://www.hsdaas.state.ut.us/area_agencies.htm * Physical Disabilities Waiver – Division of Services for People with Disabilities: Tammy Wood 801-538-9864, TWOOD@utah.gov * State Plan PCS – Bureau of Medicaid Operations: Deanna Lopez 801-538-6957, DLOPEZ@utah.gov <p>Also John Williams, johnwilliams@utah.gov, LTC Unit Director, Division of Health Care Financing, Utah Department of Health, P.O. Box 3108, 288 North 1460 West, Salt Lake City, UT 84114-3108 801-538-6021, Fax: 801-536-0469</p> | | |
| <p><i>State Home Care Association</i></p> | <table border="0"> <tr> <td style="vertical-align: top;"> <p><i>EXECUTIVE DIRECTOR</i> Dan Hull Utah Association for Home Care 1327 South 900 East Salt Lake City, Utah 84105 801/466-7210 E-mail: homecareconnection@msn.com</p> </td> <td style="vertical-align: top;"> <p><i>PRESIDENT/CHAIRPERSON</i> Rocke Hendry First Choice Home Care 1365 West 1250 South Orem, Utah 84058 Phone: 801-377-4100 Fax: 801-434-8899 E-mail: rocke@utahhomecare.com</p> </td> </tr> </table> | <p><i>EXECUTIVE DIRECTOR</i> Dan Hull Utah Association for Home Care 1327 South 900 East Salt Lake City, Utah 84105 801/466-7210 E-mail: homecareconnection@msn.com</p> | <p><i>PRESIDENT/CHAIRPERSON</i> Rocke Hendry First Choice Home Care 1365 West 1250 South Orem, Utah 84058 Phone: 801-377-4100 Fax: 801-434-8899 E-mail: rocke@utahhomecare.com</p> |
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NOTE: Please contact the State Medicaid office for the most recent information available concerning statistics as well as program eligibility and services.

Data Sources

Persons working in each state's Medicaid office provided most of the narrative data in the state summaries. We obtained most of the statistical data from published sources, as noted below.

Spending, Personal Care (2002)

“Medicaid Long Term Care Expenditures, FY2002” provided by MEDSTAT at
http://www.hcbs.org/hcbs_data.htm

Spending, 1915(c) aged/disabled waiver (2002)

“Medicaid HCBS Waiver Expenditures, FY2002” Table 1, provided by MEDSTAT at
http://www.hcbs.org/hcbs_data.htm

Total number persons enrolled in Medicaid (2001)

MSIS statistical reports by state, Table 1, from CMS at
<http://www.cms.hhs.gov/medicaid/msis/msis99sr.asp>

Number “aged” persons in Medicaid (2001)

MSIS statistical reports by state, Table 1 (basis of eligibility), from CMS at
<http://www.cms.hhs.gov/medicaid/msis/msis99sr.asp>

Number “blind/disabled” persons in Medicaid (2001)

MSIS statistical reports by state, Table 1 (basis of eligibility), from CMS at
<http://www.cms.hhs.gov/medicaid/msis/msis99sr.asp>

Number persons served by 1915(c) aged or aged/disabled waiver (2000)

National Association of State Medicaid Directors, 1915c Waivers Enrollment and Cost, at <http://www.nasmd.org/waivers/waivers.htm>

Number persons served by 1915(c) aged or aged/disabled waiver (1999)

Kaiser Commission on Medicaid and the Uninsured, Table 1, 1915(c) Medicaid Waiver Program (from HCFA Form 372), at
<http://www.hcbs.org/data/kaiser/WaiverTable1.pdf>

Number AIAN persons enrolled in Medicaid (1998)

From 2082 Table 30, Medicaid Eligibles by Race/Ethnicity, from CMS at
<http://www.cms.hhs.gov/medicaid/msis/MCD98T30.pdf>

Number of AIAN persons receiving waiver services (2000-IHS)

Special data provided from MSIS by CMS